

The following translations is non-binding. Only the German original version is the binding version.

PARTICIPATION AGREEMENT

Agreement on the participation in the Deposit Guarantee and Investor Compensation Foundation PCC

Entered into by and between

The Protection Scheme:

Deposit Guarantee and Investor Compensation Foundation PCC ('EAS')
Austrasse 46
LI-9490 Vaduz

limited to and within the scope of its cell:

Investment firms

Asset managers

Management companies/AIFM

[Will be provided by EAS.]

The participation agreement is concluded exclusively limited to and within the scope of the above-mentioned cell.

and

The cell participant:

Company name
Place
Commercial Register No
[Will be provided by EAS.]

The cell participant confirms that it

holds a licence issued by the Liechtenstein FMA

has applied or will apply for a licence within the next two months

authorising it to participate in the Protection Scheme of EAS in the cell selected above.

The contracting parties agree as follows:

1. The cell participant participates in the Investor Compensation Scheme.
2. The parties agree to the validity of the 'EAS - General Terms and Conditions of Contract' in the currently valid version (AVBs – German only). These AVBs have been handed over to the cell participant. They are also available on the EAS homepage (www.eas-liechtenstein.li). The cell participant confirms to have received and read them.
3. The admission fee is (one-off): CHF x'xxx.00 [Will be provided by EAS.]
4. The cell participant shall pay an annual administration fee. The rate can be found in the applicable table of fees published on the EAS website (point 4.3 AVBs).
5. Depending on the amount of covered deposits held by the cell participant, the cell participant shall make an annual contribution to the cell (Deposit Protection Fund) until the specified target level is reached, however at least the minimum contribution fee of CHF xxx.00 (point 4.4 AVBs).
6. Official communication by e-mail to EAS should be sent to the following e-mail address:
mitteilung@eas-liechtenstein.li
7. Notifications to the cell participant shall be sent to the following e-mail address:
.....
[please insert email address]
8. If the cell participant does not yet have a licence issued by the FMA at the time of signing of this agreement, the cell participant shall
 - a. notify the EAS immediately at the time the licence is granted and submit a copy of the authorisation, and
 - b. this Participation Agreement shall become invalid if such authorisation is not granted to the cell participant within 12 months and the cell participant notifies EAS of this within the aforementioned period. However, the parties may conclude a new agreement.

Vaduz, [Date]

Company name

Deposit Guarantee and Investor Compensation
Foundation PCC (EAS Liechtenstein)

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